

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

P32145C1

First Named Inventor

Hossner, et al.

For nonprovisional applications under 37 CFR 1.53(b))

## "EXPRESS MAIL CERTIFICATE"

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Hereby certify that this paper or fee and the papers indicated as being transmitted herewith are being deposited with the United States Postal Service Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date and with the Mailing Label Number indicated above and addressed to: COMMISSIONER FOR PATENTS, MAIL STOP: PATENT APPLICATION, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

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(TYPE OR PRINT) Aaron Graham

SIGNATURE

Aaron Graham

17858 U.S. PTO  
10/801510

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		<b>7. <input checked="" type="checkbox"/></b> The Title of the Invention: <b>3,4-DIHYDRO-N-[[1-(3-HYDROXYBUTYL)-4-PIPERDINYL]METHYL]-2H-[1,3]OXAZINO[3,2-A]INDOLE-10-CARBOXAMIDE AS 5-HT(4) RECEPTOR ANTAGONIST</b>
<b>1. <input checked="" type="checkbox"/></b> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. <u>19-2570</u> <input checked="" type="checkbox"/> General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extensions of time, relating to this application. (37 CFR 1.136(a)(3)) <i>(Submit an original, and a duplicate for fee processing)</i>	<b>8. <input type="checkbox"/></b> Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies d. <input type="checkbox"/> Use the identical computer-readable form filed in Application No. _____, filed _____ as the computer-readable form for the instant application. (37 CFR 1.821(e))	
<b>2. <input checked="" type="checkbox"/></b> The total fee is calculated as shown below: Basic Filing fee \$770.00 Total Claims 9 - 20 = 0 x \$18 \$ 0.00 Independent Claims 1 - 3 = 0 x \$86 \$ 0.00 <input type="checkbox"/> Multiple Dependent Claim present. \$290 <b>TOTAL FILING FEE \$770.00</b> <input type="checkbox"/> Cancel in this application original claims to _____ of the prior application before calculating the filing fee. <input checked="" type="checkbox"/> Charge <b>\$770.00</b> to the above indicated Deposit Account.	<b>9. ACCOMPANYING APPLICATION PARTS</b> a. <input type="checkbox"/> Information Disclosure Statement (IDS) b. <input type="checkbox"/> PTO-1449 c. <input type="checkbox"/> Copies of all IDS Citations <b>10. <input type="checkbox"/></b> Assignment Papers (cover sheet & document(s)) <b>11. <input checked="" type="checkbox"/></b> Prior Application is Assigned to: <u>SmithKline Beecham p.l.c.</u> <i>(for continuation/divisional with Box 17a completed)</i>	
<b>3a. <input checked="" type="checkbox"/></b> Specification excluding Drawings [Total Pages] <u>14</u> <b>3b. <input checked="" type="checkbox"/></b> Abstract on a separate sheet [Total Pages] <u>1</u>	<b>12. <input checked="" type="checkbox"/></b> Preliminary Amendment [Total Pages] <u>6</u>	
<b>4. <input type="checkbox"/></b> Drawing(s) (35 USC 113) [Total Sheets] _____	<b>13. <input checked="" type="checkbox"/></b> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
<b>5. <input checked="" type="checkbox"/></b> Declaration and Power of Attorney [Total Pages] <u>3</u> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17a completed)</i> c. <input type="checkbox"/> Unsigned Declaration [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	<b>14. <input type="checkbox"/></b> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> <b>15. <input checked="" type="checkbox"/></b> Transfer all references cited by Applicants or by the Examiner from the parent Application Serial No. <u>09/787,980</u> filed <u>April 18, 2002</u> . A PTO-1449 and a PTO-892 listing the references are enclosed. <b>16. <input type="checkbox"/></b> Other: _____	
<b>6. <input checked="" type="checkbox"/></b> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		

<b>17. <input checked="" type="checkbox"/></b> Priority Information, check appropriate box and supply the requisite information
a. The accompanying application is a <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>09/787,980</u> filed <u>April 18, 2002</u> .
b. <input type="checkbox"/> Benefit is claimed under Title 35, United States Code, Section 119(e) of the following Provisional Applications: Application No. _____ filed _____
c. <b>** Add claim to priority via Preliminary Amendment.</b>

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